

REPORT TO: Policy and Performance Review Committee

MEETING DATE: 16 June 2015

BY: Depute Chief Executive – Partnerships and Services for Communities

SUBJECT: The Monitoring of Roadworks – January 2013 to March 2015

1 PURPOSE

- 1.1 To provide details of the performance of Public Utilities / Statutory Undertakers (SU) and their contractors working within East Lothian during Quarter 4 of 2012/13 to Quarter 4 of 2014/15 and to monitor trends / progress by comparing performance throughout this period.
- 1.2 To advise the Committee of the results of the ongoing Customer Satisfaction Feedback for road works sites and other projects carried out by in-house and external contractors
- 1.3 To report on the content of the 2013 and 2014 Annual performance Review for East Lothian Council as issued by the Scottish Roadworks Commissioner.

2 RECOMMENDATIONS

- 2.1 To note the content of the report and the action points in response to the Scottish Road Works Commissioner's Performance reviews

3 BACKGROUND

3.1 Public Utilities/Statutory Undertakers (SU) Performance – Site Inspections

- 3.1.1 The relevant information obtained on the performance of the Public Utilities based on site inspections carried out by council staff during the period January 2013 to January 2015 as extracted from the Scottish Road Works Register is contained in **Appendix 1**.

- 3.1.2 Site inspections are carried out by suitably trained and (NRSWA) accredited Roads Service Officers using an approved Inspection Report attached (Appendix 2)
- 3.1.3 Roads officers involved in this process are reporting that concerns raised directly with the SU contacts are being promptly rectified particularly in relation to signing, lighting and guarding specifically the mandatory use of information boards at works.
- 3.1.4 In accordance with the current regulatory Code of Practice the intervention level is 80% so with the majority achieving between 90 & 100% and the lowest at 80% (Scottish Power Qtr 4 2013/14 – P3 of Appendix 1) performance is satisfactory and no further action is required.
- 3.1.5 The performance results are discussed at local (LRAUC) and regional (SERAUC) meetings between the Roads Authority(s) and SU's and this is further reported to the Roads Authorities & Utilities Committee (Scotland) (RAUC(S)) and this is also attended by the Road Works Commissioner.

3.2 Monitoring of Internal / External Road Contractors

- 3.2.1 The performance of both internal and external contractors carrying out site works on behalf of Roads Service continues to be monitored.
- 3.2.2 The main part of this process is the ongoing issue of letters to householders and others directly affected by planned works. This notification process advises of the nature and anticipated duration of the works and includes the name and contact details of the engineer responsible for the works whereby any aspect of the works may be discussed.
- 3.2.3 During the period January 13 to January 15 feedback on some 208 projects was received from this process on a diverse range of projects including carriageway resurfacing, footway resurfacing, installation of new traffic signals, drainage improvements, road safety improvements and the refurbishment and installation of new street lighting.
- 3.2.4 The analysis of the responses received indicated that 93 % of the respondents were either very satisfied or satisfied with the standard of works undertaken – Roads Service achieved 2 star awards for recent projects and only 7% were either dissatisfied or very dissatisfied.

3.3 2013 National Coring Programme – Road reinstatements by Statutory Undertakers

- 3.3.1 The most recent Scotland wide coring programme was carried out in 2013 undertaken jointly by all 32 councils and in collaboration with the SU organisations and with the support and involvement of the Scottish Road Works Commissioner (SRWC).

- 3.3.2 24 core samples were taken in East Lothian. The locations were selected at random from SU trench and other reinstatements that became permanent between 1 January and 31 December 2013. 150mm diameter cores are extracted by an approved testing contractor and the top layers are measured, assessed and analysed.
- 3.3.3 Of the 24 cores extracted and checked 17 complied with the required standards for material type(s) used and specified material layer thickness, therefore a 71% pass rate overall. The 2010 pass rate was 88%.
- 3.3.4 The 2013 results are contained in Appendix 3 to this report.
- 3.3.5 In June 2014 the Road Works Commissioner issued penalties to 6 utility companies for non compliance to the specification (Appendix 4 to this report). All East Lothian reinstatement locations where failed cores were identified have been replaced and identified as conforming to the required specification.

3.4 The Scottish Road Works Commissioner (SRWC)

- 3.4.1 Since the appointment of the Roadworks Commissioner in 2007 a suite of performance indicators have been developed in consultation with the Roads Authorities and statutory undertakers to measure various aspects of both roads authorities and statutory undertakers in relation to how works information is entered onto the Scottish Road Works Register
- 3.4.2 The Commissioner has issued 2 reviews in the period of this report (refer to appendices 5 & 6) with roads authorities' performance monitored in four main areas:

1. Noticing Failures
2. Noticing Management Information
3. Management and Timing of Works
4. Misuse of "Traffic Management Not Yet Known" Category
5. Frequency of Gazetteer Submissions

3.4.3 Commissioners Comments

1. Noticing Failures
 - a. 2013 – An improved performance over previous year 2012
 - b. 2014 –. There were areas of improvement identified in regards to notice failures.

- c. Measures to Improve – Road Services Managers hold weekly review meetings and were aware we were not performing as well in this areas. Measures have been put in place within the last six months to improve our performance particularly on notice failures. We now have a number of additional staff undertaking the new Road Works Administration course to SVQ level, our procedures have been reviewed and a more robust system has been put in place to ensure an improvement. Having more people trained and additional staff members using the system regularly, will build up this pool of experience and allow more people to be involved in the process and support the team when required.

2. Notice Management Information &

3. Management and Timing of Works

- a. We currently have a high number of early starts and works extensions. These are planning tools available within the system to assist with the programming and co-ordination of works. We hold weekly review meetings and were aware we were not performing as well in this area.

- a. Measures to Improve – We are working hard to address this and filling vacant posts, building up experience and having more staff trained on the SRW system is key to this. It is anticipated this will help improve our performance and reduce the number of extension. When we do fall below the standard expected we will ensure an explanation is provided on the system that will alert the Road Commissioner as to why this has happened.

4. Misuse of “Traffic Management Not yet Known” Category

- a. There were no instances of the misuse of the “Traffic Management Not Yet Know” category in 2014. This category of traffic management was widely chosen for works being entered in advance on the SRWR by both roads authorities and utilities alike and was not amended by them when works moved to the in progress stage when the traffic management to be used for that project would obviously be known.

5. Frequency of Gazetteer Submissions

- a. In early 2013 there were improvement required in regards to gazetteer, however this was rectified and our performance improved for the remainder of that year.
- b. The 2014 result clearly show that submissions have been successfully uploaded and validated onto the SRWR when required to do so.

While we are aware of areas of improvement, a lot of good work has been done in regards to the co-ordination of major Roads projects in the last 12 months in ELC. For example the large scale Scottish Power projects. Road Services, Scottish Power, their contractors and many other utilities companies spent a great deal of time discussing, planning and overseeing this project. The route for the Cockenzie to Haddington for example was revised to relocate it away from busy commuter routes onto rural unclassified roads eg Redcoll, Coates and Bagley. The route from Tranent to Cockenzie was revised away from Bridge Street, Church Street and Bankton onto the Heugh Cycleway. The Musselburgh Route was also revised away from Newhailes Road and Clayknowes Roads to route through the Industrial Estate and make use of the public remote footpath along the western edge of the Clayknowes and Muckletts Estates. At Monktonhall Terrace, we agreed a 3 week closure of this road from the roundabout at Old Craighall to Mayfield Crescent and this facilitated work from 7 different contractors during this period.

We are not complacent and will continue to seek improvement in our performance and are confident that the measures outlined above that are now in place will improve our overall performance in this area.

4. POLICY IMPLICATIONS

4.1 None

5 EQUALITIES IMPACT ASSESSMENT

5.1 This report is not applicable to the wellbeing of equalities groups and an Equalities Impact Assessment is not required.

6 RESOURCE IMPLICATIONS

6.1 Financial – None

6.2 Personnel – None

6.3 Other – None

7 BACKGROUND PAPERS

7.1 Reports to the former Environment PPRP on 3 May 2005, 20 September 2005, 28 February 2008, 29 September 2009, 16 February 2010 and the PPRC of 29 May 2012

AUTHOR'S NAME	William Laird
DESIGNATION	Senior Roads Officer
CONTACT INFO	Ext 7663
DATE	03 June 2015

Quarter 4 – 2012 / 13 (Jan to Mar 13)

Operator	Defect Inspections	Sample Inspections	Defective Apparatus Reports
Open Reach (BT)	0	10 Pass / 1 Fail - 11 = 91% pass rate	12
Energetics	2	5 Pass / 1 Fail – 6 = 83% pass rate	0
Roads Service	0	23 Pass / 0 Fail = 100%	4
SGN	4	26 Pass / 0 Fail = 100%	0
Scottish Power	10	10 Pass / 0 Fail = 100%	0
Scottish Water	12	27 Pass / 0 Fail = 100%	78

Income generated from Inspections for this period:-

Sample Inspections = £3090 Defect Inspections = £1140

Quarter 1 - 2013 / 14 (Apr to Jun 13)

Operator	Defect Inspections	Sample Inspections	Defective Apparatus Reports
Open Reach (BT)	0	13 Pass / 0 Fail = 100%	18
Roads Service	0	9 Pass / 0 Fail = 100%	2
SGN	6	23 Pass / 0 Fail = 100%	1
Scottish Power	3	16 Pass / 0 Fail = 100%	0
Scottish Water	11	20 Pass / 2 Fail = 91%	95

Income generated from this period:-

Sample Inspections = £2490 Defect Inspections = £600

Quarter 2 – 2013 / 14 (July to Sept 13)

Operator	Defect Inspections	Sample Inspections	Defective Apparatus Reports
Open Reach (BT)	3	21 Pass / 2 Fail = 91% pass rate	23
Energetics	0	11 Pass / 0 Fail = 100% pass rate	0
Roads Service	0	15 Pass / 0 Fail = 100%	5
SGN	1	29 Pass / 1 Fail = 97%	1
Scottish Power	2	11 Pass / 2 Fail = 85%	2
Scottish Water	4	35 Pass / 0 Fail = 100%	119
Income generated from Inspections for this period:- Sample Inspections = £3810			Defect Inspections = £300

Quarter 3 - 2013 / 14 (Oct to Dec 13)

Operator	Defect Inspections	Sample Inspections	Defective Apparatus Reports	National Coring Results
Open Reach (BT)	6	18 Pass / 1 Fail = 95%	17	2 Pass - 1 Fail/replace
Energetics	0	2 Pass / 0 Fail = 100%	0	1 pass – 2 fail/replace
Roads Service	0	18 Pass / 0 Fail = 100%	1	
SGN	2	21 Pass / 0 Fail = 100%	7	12 pass – 4 fail / replace
Scottish Power	0	16 Pass / 0 Fail = 100%	0	4 pass – 0 fail / replace
Scottish Water	4	18 Pass / 2 Fail = 90%	121	5 pass – 0 fail/replace
Income generated from this period:- Sample Inspections = £2340			Defect Inspections = £360	

Quarter 4 - 2013 / 14 (Jan to Mar 14)

Operator	Defect Inspections	Sample Inspections	Defective Apparatus Reports	National Coring Results
Open Reach (BT)	8	10 pass / 2 Fail = 83%	25	2 pass – 1 fail/replace
Energetics	1	1 pass / 1 fail = 50%	0	4 pass – 0 fail/replace
Road Services	0	8 pass / 0 fail = 100%	5	
SGN	9	17 pass / 3 fail = 100%	1	1 pass – 4 fail/replace
Scottish Power	3	8 pass / 2 fail = 80%	0	
Scottish Water	14	22 pass / 0 fail = 100%	113	
Income generated from this period:- Sample Inspections = £1980 Defect Inspections = £1050				

Quarter 1 - 2014 / 15 (Apr to Jun 14)

Operator	Defect Inspections	Sample Inspections	Defective Apparatus Reports	National Coring Result
Open Reach (BT)	6	17 pass / 1 fail = 94%	30	
Energetics	4			
Roads Service	0	4 pass / 0 Fail = 100%	3	
SGN	14	27 pass / 2 fail = 93%	3	1 pass – 1 fail/replace
Scottish Power	0	16 pass / 0 fail = 100%	3	
Scottish Water	9	21 pass / 2 fail = 91%	136	
Income generated from this period:- Sample Inspections = £2580 Defect Inspections = £990				

Quarter 2 - 2014 / 15 (July to Sept 14)

Operator	Defect Inspections	Sample Inspections	Defective Apparatus Reports	National Coring Result
Open Reach (BT)	2	18 pass / 2 fail = 90%	33	
Energetics	4	6 pass / 0 fail = 100%	4	
Roads Service		6 pass / 0 fail = 100%	4	
SGN	6	25 pass / 1 fail = 96%		
Scottish Power	0	19 pass / 0 fail = 100%		
Scottish Water	7	24 pass / 2 fail = 92%	144	

Income generated from this period:- Sample Inspections = £2910 Defect Inspections = £570

Quarter 3 - 2014 / 15 (Oct to Dec 14)

Operator	Defect Inspections	Sample Inspections	Defective Apparatus Reports	National Coring Result
Open Reach (BT)	11	12 pass / 0 Fail = 100%	25	
Energetics	4	1 pass / 0 fail = 100%		
Roads Service	0	6 pass / 0 fail = 100%	8	
SGN	4	17 pass / 0 fail = 100%	1	
Scottish Power	0	14 pass / 0 fail = 100%		
Scottish Water	8	19 pass / 0 fail = 100%	147	

Income generated from this period:- Sample Inspections = £1890 Defect Inspections = £810

Quarter 4 - 2014 / 15 (Jan to Mar 15)

Operator	Defect Inspections	Sample Inspections	Defective Apparatus Reports	National Coring Result
Open Reach (BT)	4	10 pass / 0 fail = 100%	7	
Energetics	4	1 pass / 1 fail = 50%		
Roads Service	0	5 pass / 1 fail = 83%	18	
SGN	5	16 pass / 0 fail = 100%	3	
Scottish Power	0	11 pass / 0 fail = 100%	0	
Scottish Water	7	29 pass / 4 fail = 88%	220	

Income generated from this period:-

Sample Inspections = £2160 Defect Inspections = £600

WORKS INSPECTION REPORT



Undertaker:

Location:

SRWR LA Ref:

Routine Inspection Third Party Inspection
 Investigatory Works
 Dangerous Non Dangerous

Cause for Failure	Incorrect Equipment	Acceptable	Not Acceptable	Not seen / Not applicable
Signing/Guarding/Lighting				
Signs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Distance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Safety Zone	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Barriers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Traffic Control	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pedestrian Control	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Excavation		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Backfill & Reinstatement				
Apparatus Surround		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Material		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Compaction		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sub-base		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Base		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Binder Course		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Surface Course		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Profile & Structure				
Edge Depressions		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Edge Cracking		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Surface Depressions		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Crowning		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Texture Depth		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Surface Regularity		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Structure		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
As Laid Profile		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Further Comments

Name:

Signature:

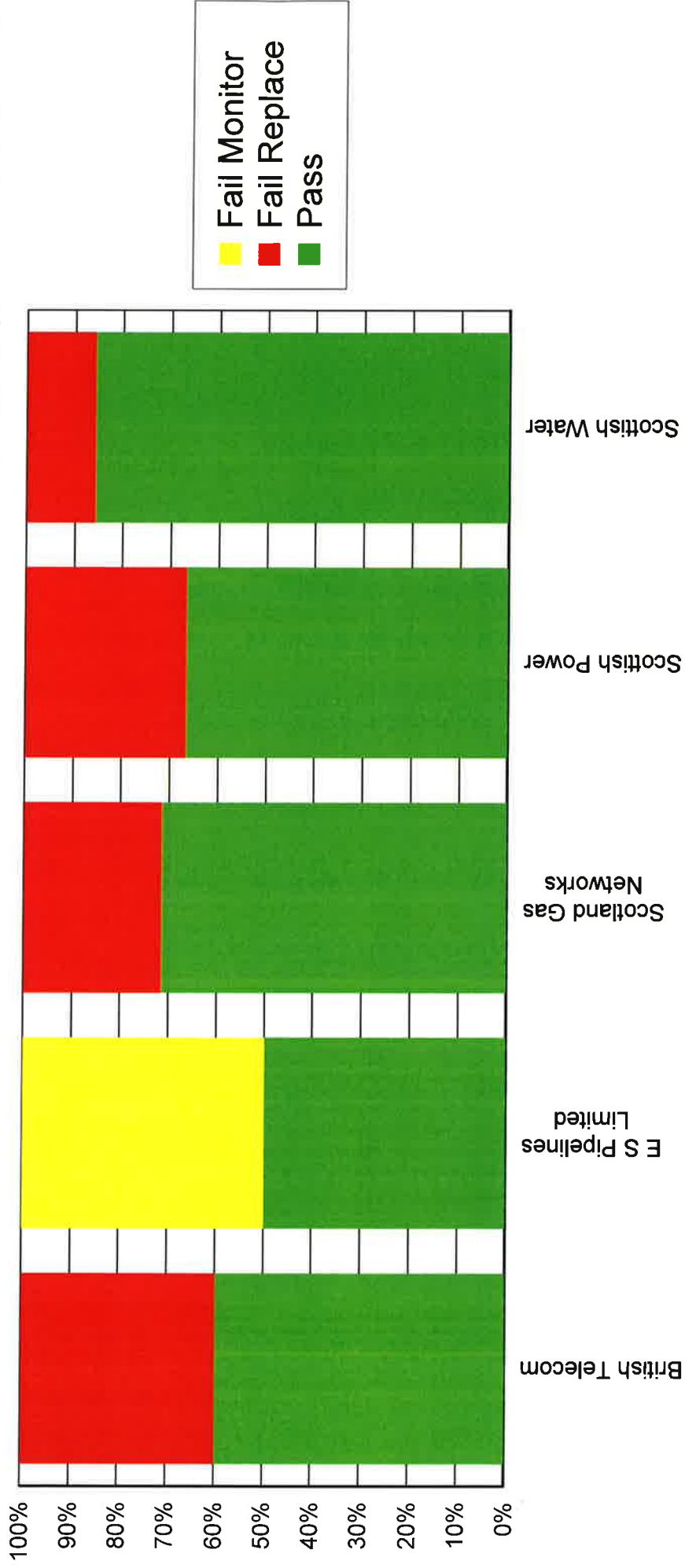
Date:

Time:

South-East RAUC

Roads Authority East Lothian Council	CORE	PASS	No / %	FAIL-MONITOR					FAIL-REPLACE							
				Compaction	Layers	Materials	Other	TOTAL No / %	Compaction	Layers	Materials	Other	TOTAL No / %			
British Telecom	5	3	60.0%	0	0	0	0	0	0	0	1	2	0	2	40.0%	
E S Pipelines Limited	2	1	50.0%	0	0	0	1	1	50.0%	0	0	0	0	0	0	0.0%
Scotland Gas Networks	7	5	71.4%	0	0	0	0	0	0.0%	0	2	0	0	2	28.6%	
Scottish Power	3	2	66.7%	0	0	0	0	0	0.0%	0	1	0	0	1	33.3%	
Scottish Water	7	6	85.7%	0	0	0	0	0	0.0%	0	1	0	0	1	14.3%	
TOTALS East Lothian Council	24	17	70.8%	0	0	0	1	1	4.2%	0	5	2	0	6	25.0%	

South-East RAUC



SCOTTISH ROAD WORKS COMMISSIONER

Media Release

23 June 2014

SCOTTISH ROAD WORKS COMMISSIONER ISSUES PENALTIES

The Scottish Road Works Commissioner has today issued penalties totalling £57,500 to six utility companies for failing to comply with legal requirements when placing their cables and pipes in roads. These failings were identified by the latest biennial Scottish National Coring Programme in which road cores are taken to establish whether the correct materials, layer depths and compaction have been used to refill the bituminous layers of excavations. Openreach has been fined £30,000; SP Energy Networks £20,000; Vodafone £3,000; ES Pipelines £1,500; Energetics £1,500; and Fulcrum £1,500.

The latest results showed that only two companies, SSE (94%) and Scottish Water (92%), achieved in excess of the 90% target pass rate set by the Scottish utility companies themselves after poor results in the previous Coring Programme.

Elsbeth King, the Scottish Road Works Commissioner said:

"Although I am pleased to see that the overall pass rate has increased to 83% from 74% previously, this is just not good enough. There is a legal duty to meet the required standard for all materials and workmanship when carrying out excavations and I expect all utility companies to endeavour to achieve as close to 100% compliance as possible. This will entail a significant increase in levels of supervision and quality control.

I am disappointed that the results do not show the step change in performance which has been required. These failed reinstatements will have a detrimental effect on the serviceable life of the road, leading to further road works to replace the failed sections and the potential of disruption and inconvenience to road users."

All of the companies involved have now assured the Commissioner that they have introduced procedures to improve future compliance rates.

NOTES FOR NEWS EDITORS

The post of Commissioner was established under the Transport (Scotland) Act 2005 to oversee improvements to the planning, co-ordination and quality of road works throughout Scotland. The Commissioner monitors performance and promotes good practice across both utility companies and roads authorities. She also has the power to impose financial penalties of up to £50,000 on organisations who have systemic failures in compliance with road works legislation.

Contact: Raymond Elliot (Policy Manager) on 0131 244 9938 or raymond.elliott@srwc.gsi.gov.uk.

Organisation: East Lothian Council

SCOTTISH ROAD WORKS COMMISSIONER

Scottish Road Works Register Performance Data - January to December 2013

Noticing Activity and FPNs		2012					2013					
		Year	Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	Year	Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	
Number of Noticing Failures Noticing Failures per Actual Start Notices Roads Authority Average Utility Average	Indicator Report 2a Indicator Report 2a	59	9	6	7	10	52	9	6	7	10	
		0.16 [0.14] [0.10]	0.19 [0.09] [0.08]	0.06 [0.09] [0.08]	0.04 [0.09] [0.06]	0.13 [0.09] [0.07]	0.08 [0.09] [0.07]					
Noticing Management Information The Commissioner wishes to determine if roads authorities are meeting their duty to enter notices for all of their works on the SRWR. She also wishes to keep under review the designations given to works.												
Works Started Works Completed Emergency, Urgent or Remedial Dangerous Works Minor, Standard, Major Works Fixed Penalty Notices The Commissioner is monitoring the number of roads authorities which are issuing Fixed Penalty Notices. This is included for information only as the issuing of FPNs is at the discretion of the roads authority.	Indicator Report 9a Indicator Report 9b Indicator Report 4a Indicator Report 4b	363	47	97	177	76	387	47	97	177	76	
		369	50	98	171	82	401	50	98	171	82	
		21	2	10	4	0	16	2	10	4	0	16
		336	44	87	172	76	379	44	87	172	76	379
Potential Undertaker FPNs in this authority area Actual FPNs given FPNs given for Road (Scotland) Act Offences												
		118	9	15	18	17	59	9	15	18	17	
		13	0	0	0	0	0	0	0	0	0	
		0	0	0	0	0	0	0	0	0	0	

Management and Timing of Works

Timing of Works

The Commissioner wishes to review the use of RAUC(S) agreed procedures relating to Early Starts, Late Starts, Overtaking Works and Works Extensions. Early Starts, Late Starts and Work Extensions are presented as a percentage of all works. Overtaking works are presented as a percentage of Minor/Standard/Major Works.

	2012					2013					2013 Total
	Year	Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	Year	Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	
Number of Early Starts	124	13	13	21	12	59	13	13	21	12	59
Percentage of Early Starts	34%	28%	28%	12%	18%	18%	13%	13%	12%	18%	18%
Roads Authority Early Starts Average	(19%)	[21%]	[20%]	[16%]	[17%]	(18%)	[20%]	[16%]	[16%]	[17%]	(18%)
Number of Late Starts	0	0	0	0	1	1	0	0	0	1	1
Percentage of Late Starts	0%	0%	0%	0%	1%	0%	0%	0%	0%	1%	0%
Roads Authority Late Starts Average	(4%)	[3%]	[2%]	[3%]	[1%]	(2%)	[2%]	[3%]	[3%]	[1%]	(2%)
Number of Overtaking Works	18	6	1	9	6	21	1	1	9	6	21
Percentage of Overtaking Works	5%	11%	1%	5%	5%	6%	1%	1%	5%	5%	6%
Roads Authority Overtaking Average	(2%)	[2%]	[2%]	[2%]	[3%]	(2%)	[2%]	[2%]	[2%]	[3%]	(2%)
Number of Work Extensions	131	32	22	37	31	122	22	23	37	31	122
Percentage of Work Extensions	38%	65%	23%	21%	41%	31%	23%	21%	21%	41%	31%
Roads Authority Work Extensions Average	(21%)	[23%]	[25%]	[18%]	[15%]	(19%)	[25%]	[18%]	[18%]	[15%]	(19%)
Works Awaiting Closure (Cumulative)	3 outstanding	6	2	4	7	7 outstanding	2	2	4	7	7 outstanding

Traffic Management Not Yet Known

Misuse of "Not Yet Known" Traffic Management Type

The Commissioner wishes to monitor the misuse of this category. The SRWR triggers a warning if Traffic Management "Not Yet Known" is still selected at the follow-up notice stage (7 days before the start of the works). Data presented as a percentage of Minor/Standard/Major Works.

	2012					2013					2013 Total
	Year	Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	Year	Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	
Number of works input as "Traffic Management not yet known"	13	1	1	0	0	2	1	1	0	0	2
Percentage of all works input under this category	4%	2%	2%	0%	0%	1%	2%	1%	0%	0%	1%

Gazetteer Updates

The Commissioner wishes to monitor the frequency of submission of Gazetteer updates made to the SRWR. These submissions should be made every quarter, but on the rare occasions there are no new roads in the council area the submitter can report that there is no update required.

	2012					2013					2013 Total
	Year	Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	Year	Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	
Submissions made	1 Successful Submission	No Submission	No Submission	Update Submitted	Update Submitted	2 Successful Submissions	No Submission	No Submission	Update Submitted	Update Submitted	2 Successful Submissions

SCOTTISH ROAD WORKS COMMISSIONER

Organisation: East Lothian Council

Scottish Road Works Register Performance Data - January to December 2014

Noticing Activity and FPNs		2012 Year	2013 Year	2014				2014 Year
				Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	
Noticing Failures The Commissioner wishes to measure the accuracy of the information held on notices and that the appropriate timescales are being met. This report measures the number of error messages generated by the Scottish Road Works Register (SRWR) which would flag a potential Fixed Penalty Notice offence were the same error made by a utility company. The failure rate is based on a comparison with the number of Actual Start Notices which are issued.		59	32	8	20	12	16	56
Number of Noticing Failures Noticing Failures per Actual Start Notices Roads Authority Average Utility Average		0.16 [0.13] [0.10]	0.08 [0.09] [0.07]	0.10 [0.07] [0.07]	0.13 [0.07] [0.07]	0.15 [0.07] [0.09]	0.22 [0.10] [0.08]	0.15 [0.08] [0.07]
Noticing Management Information The Commissioner wishes to determine if roads authorities are meeting their duty to enter notices for all of their works on the SRWR. This is done by measuring the number of Actual Start Notices and Completion Notices entered on to the SRWR. She also wishes to keep under review the designations given to works.								
Works Started Works Completed Emergency, Urgent or Remedial Dangerous Works Minor, Standard, Major Works (and Road Restrictions)		363 369 21 336	397 401 16 379	77 75 2 75	154 160 6 148	79 70 3 76	72 82 4 68	382 387 15 367
Fixed Penalty Notices The Commissioner is monitoring the number of roads authorities which are issuing Fixed Penalty Notices. This is included for information only as the issuing of FPNs is at the discretion of the roads authority.								
Potential Undertaker FPNs in this authority area Actual FPNs given FPNs given for Road (Scotland) Act Offences		118 13 0	59 0 0	21 0 0	22 0 0	25 0 0	27 0 0	95 0 0
Indicator Report 2a Indicator Report 2a								
Indicator Report 9a Indicator Report 9b Indicator Report 4a Indicator Report 4b								
Indicator Report 1 Indicator Report 1 Indicator Report 3								

Management and Timing of Works

Timing of Works

The Commissioner wishes to review the use of RAUC(S) agreed procedures relating to Early Starts, Late Starts, Overrunning Works and Works Extensions. Early Starts, Late Starts and Work Extensions are presented as a percentage of all works. Overrunning works are presented as a percentage of Minor/Standard/Major Works.

	2012 Year	2013 Total	2014				2014 Total
			Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	
Indicator Report 10	124 34% [19%]	59 15% [18%]	20 26% [19%]	27 18% [19%]	16 20% [20%]	13 18% [20%]	76 20% [20%]
Indicator Report 10	0 0% [4%]	1 0% [2%]	0 0% [2%]	1 1% [1%]	0 0% [2%]	0 0% [3%]	1 0% [2%]
Indicator Report 6	18 5% [2%]	21 6% [2%]	2 3% [2%]	13 9% [2%]	3 4% [2%]	4 6% [2%]	22 6% [2%]
Indicator Report 12	131 36% [21%]	122 31% [19%]	66 86% [18%]	35 23% [15%]	29 37% [17%]	45 63% [23%]	175 46% [18%]
Works Awaiting Closure (Cumulative)	3 outstanding	7 outstanding	2	1	2	1	1 outstanding

Traffic Management Not Yet Known

Misuse of "Not Yet Known" Traffic Management Type

The Commissioner wishes to monitor the misuse of this category. The SRWR triggers a warning if Traffic Management "Not Yet Known" is still selected at the follow-up notice stage (7 days before the start of the works). Data presented as a percentage of Minor/Standard/Major Works.

	2012 Year	2013 Total	2014				2014 Total
			Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	
Number of works input as "Traffic Management not yet known" Percentage of all works input under this category	N/A N/A	N/A N/A	0 0%	0 0%	0 0%	0 0%	0 0%

Gazetteer Updates

The Commissioner wishes to monitor the frequency of submission of Gazetteer updates made to the SRWR. These submissions should be made every quarter, but on the rare occasions there are no new roads in the council area the submitter can report that there is no update required.

	2012 Year	2013 Total	2014				2014 Total
			Jan - Mar	Apr - June	Jul - Sep	Oct - Dec	
Submissions made	1 Successful Submission	2 Successful Submissions	Update Submitted	Update Submitted	No Update Required	Update Submitted	4 Successful Submissions